DF/HCC CCSG Protocol Review and Monitoring Requirements

As required by the Dana-Farber/Harvard Cancer Center (DF/HCC) National Cancer Institute (NCI) Cancer Center Support Grant (CCSG), DF/HCC conducts centralized protocol review and monitoring for all cancer-related hypothesis-driven clinical research studies undertaken by investigators at DF/HCC institutions. For the purposes of the CCSG, NCI defines clinical research as interventional and noninterventional patient-oriented research, epidemiological and behavioral research, and health services research. Patient-oriented research includes treatment, supportive care, prevention, diagnostic and screening studies, as well as research with human specimens collected by investigators through direct interaction with research subjects. NCI also expects that any human subjects research taking place at DF/HCC institutions that is funded by NCI, even if not directly cancer-related (e.g., tobacco use studies) be centrally monitored. DF/HCC convenes Scientific Review Committees (SRC) to oversee protocol review and monitoring of all cancer related clinical research as required under the CCSG.

SRC review is separate from and in addition to Institutional Review Board (IRB) review, which focuses on the protection of human subjects. DF/HCC institutions have agreed to rely on the Dana-Farber Cancer Institute (DFCI) IRB for ethical review of cancer-related clinical research. Some multiinstitutional or externally sponsored studies rely on commercial or external single IRBs. DFCI has, in turn, agreed to rely on DF/HCC institutions for review of certain research as well. The DF/HCC institutions include:

- Beth Israel Deaconess Medical Center (BIDMC)
- Boston Children's Hospital (BCH)
- Brigham and Women's Hospital (BWH)
- Dana-Farber Cancer Institute (DFCI)
- Massachusetts General Hospital (MGH)
- Harvard Medical School (HMS)
- Harvard School of Public Health (HSPH)

The DF/HCC Office for Human Research Studies (OHRS) is the office at DFCI that manages DF/HCC SRC review and DFCI IRB review, including reliance on external IRBs. All submissions to OHRS and DF/HCC are accomplished in the iRIS system.

Centralized data-safety monitoring (DSMC/DSMB) and auditing are part of the DF/HCC protocol review and monitoring system. The DF/HCC Office for Data Quality (ODQ) is the office at DFCI that manages the DF/HCC data safety monitoring and auditing.

Under the conditions of the CCSG, the NCI requires regular reporting of all DF/HCC research activities. For all research reviewed under the DF/HCC protocol review and monitoring system, reporting is managed by DF/HCC Research Informatics Office and ODQ. For research that is CCSG relevant but not reviewed under the DF/HCC protocol review and monitoring system, individual investigators may be responsible for providing protocol data to DF/HCC for inclusion in reports to the NCI.

To help determine what research requires submission to DF/HCC, the following decision tree is provided as a guidance:

1a. Is the research cancer relevant (e.g., specifically recruiting patients with cancer or asking cancerrelevant scientific questions including cancer screening, cancer prevention, cancer-related outcomes, or assessments of cancer risk)?

This may include interventional research, observational research involving cancer patients and/or healthy populations, as well as specimen-based research. See the following section for specific examples.

AND

1b. Is the protocol hypothesis-driven and statistically powered to answer a scientific question?
Yes (CCSG relevant and requires submission to DF/HCC)

or

No (next question)

2. Is the research funded by the NCI?

 $\ensuremath{ Yes}$ (CCSG relevant and requires submission to DF/HCC)

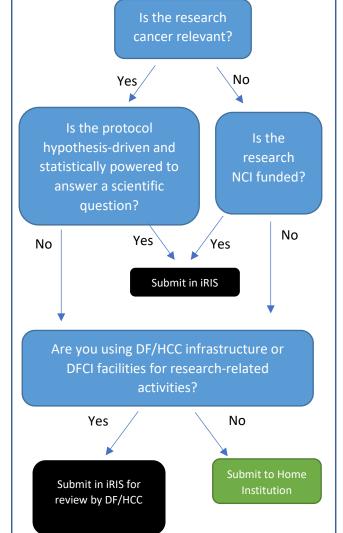
or

No (next question)

3. Does the research use DF/HCC infrastructure or DFCI facilities for research-related activities,

causing Dana-Farber Cancer Institute to be engaged

in human subject research?



For non-cancer relevant research in which DFCI is engaged or DF/HCC infrastructure is used, submission to OHRS in iRIS for feasibility reviews may be required; review by the DFCI IRB is optional. Examples of DF/HCC infrastructure or DFCI facilities are listed below:

- DFCI Research Pharmacy Services
- DFCI Cell Manipulation Core Facilities (CMCF)*
- o Electronic Forms Capture

Data Safety and Monitoring

- o Data Management
- o Registration in OnCore or OncPro
- Yes (Submit to DF/HCC for operational and/or IRB review)

or

No (submission to DF/HCC is not required)

*Non-cancer research that utilizes the CMCF needs to be evaluated by OHRS on a case-by-case basis.

IRB Offices and Contacts for Questions:

Beth Israel Deaconess Medical Center - Committee on Clinical Investigation (IRB) Contacts: Andrea Collins / Wendy Moy Boston Children's Hospital Institutional Review Board Contacts: Susan Kornetsky Dana-Farber Cancer Institute - Office for Human Research Studies (OHRS) Contacts: OHRS@dfci.harvard.edu Lara Sloboda / Caroline Kokulis / Jeffrey Meyerhardt, M.D. Mass General Brigham IRB Contacts: Martha Jones

What Research is Considered CCSG-Relevant?

The following table is intended to help clarify certain types of cancer related research studies that may be CCSG relevant and require submission to DF/HCC. Research that is submitted to DF/HCC may rely on an external IRB for ethical review in some cases, but a full submission of the research to OHRS is still required for scientific review and CCSG reporting.

Investigators should seek guidance from their institutional IRB office before completing application forms if there is any uncertainty about the most appropriate review process for a specific study.

Research Involving	Required to Submit To
Chemotherapeutic drugs or immunotherapy to treat malignant tumors or used to treat the following nonmalignant tumors:Meningiomas, Schwannomas, Neurofibromas, Pancreatic cysts or Neuroendocrine pancreatic tumors.Cryotherapy or radioablation of malignant tumorsNovel surgical approaches to cancer therapyPain management OR alternative medicine approaches to cancer therapyRadiological diagnostic techniques directed at patients with cancer or follow-up of cancer therapy	Submit to DF/HCC through the iRIS system – For review by DFCI IRB or reliance on external IRB
Cancer risk, screening or prevention Questionnaires / surveys directed at patients with cancer	*For DFCI engaged non-cancer research, submission is required for the relevant feasibility review, but IRB review may be at home institution
Health services research / cost effectiveness analysis	
Mixed Patient Populations including a subset of cancer patients where cancer-relevant populations are specifically recruited and/or cancer-relevant scientific questions are researched	
*Greater than Minimal Risk, Non-Malignant Hematology when Dana-Farber Cancer Institute is engaged in research	

Research Involving	May be submitted to
Treatment of the following nonmalignant tumors without chemotherapy or immunotherapy : Meningiomas, Schwannomas, Neurofibromas, Pancreatic cysts or Neuroendocrine pancreatic tumors.	
Treatment of osteomas	
Treatment of warts / localized papilloma	
Mixed Patient Populations where the inclusion of cancer-related populations is incidental	Principal Investigator's Institution
Nursing practice and/or quality improvement projects related to cancer care	
Collection of blood/tissue for genetic testing directed at patients with cancer when Dana-Farber Cancer Institute is not engaged in research	
Health / medical records of patients with cancer when Dana-Farber Cancer Institute is not engaged in research	
Tissue banks and/or sample/data repositories without scientific objectives	
Infectious Disease Research	
Humanitarian Use Device / Humanitarian Device Exemptions for clinical treatment of patients	

What if I have a CCSG-relevant research project that was not initially submitted to DF/HCC?

DF/HCC is still obligated to report on National Cancer Institute (NCI) Cancer Center Support Grant (CCSG) relevant studies conducted at any DF/HCC member institution. Please contact the DF/HCC Office of Data Quality (ODQ) to determine the best way to ensure this reporting occurs.

DF/HCC may request certain data from the investigator to support the reporting of these trials.

Examples include:

- A list of all DF/HCC institutions participating in this research, the PI at each site, and status dates for each site (i.e., date of activation, date of closure to accrual, date of IRB completion)
- Accrual information for the entire study, including the number of subjects accrued at each participating site by calendar year and any available demographic information.