

Rehabilitation Protocol for Total Shoulder Arthroplasty and Hemiarthroplasty

This protocol is intended to guide clinicians and patients through the post-operative course after a total shoulder arthroplasty (TSA) and hemiarthroplasty. Specific interventions should be based on the needs of the individual and should consider exam findings and clinical decision making. If you have questions, contact the referring physician.

Considerations for the Total Shoulder Arthroplasty and Hemiarthroplasty Rehabilitation Program

Many different factors influence the post-operative rehabilitation outcome, including surgical approach, concomitant repair of the rotator cuff, arthroplasty secondary to fracture, arthroplasty secondary to rheumatoid arthritis or osteonecrosis, and individual patient factors including co-morbidities. It is recommended that patients meet all rehabilitation criteria in order to progress to the next phase and clinicians collaborate closely with the referring physician throughout the rehabilitation process.

Post-operative Complications

If you develop a fever, unresolving numbness/tingling, excessive drainage from the incision, uncontrolled pain or any other symptoms you have concerns about you should contact the referring physician.

PHASE I: IMMEDIATE POST-OP (0-3 WEEKS AFTER SURGERY)

Rehabilitation Goals	• Protect surgical repair • Reduce swelling, minimize pain • Maintain UE ROM in elbow, hand and wrist • Gradually increase shoulder PROM • Minimize muscle inhibition • Patient education
Sling	• Neutral rotation • Use of abduction pillow in 30-45 degrees abduction • Use at night while sleeping
Precautions	• No shoulder AROM • No reaching behind back, especially in to internal rotation • No excessive shoulder external rotation or abduction • No lifting of objects • No supporting of body weight with hands • Place small pillow/towel roll under elbow while lying on back to avoid shoulder hyperextension
Intervention	<i>Swelling Management</i> • Ice, compression <i>Range of motion/Mobility</i> • PROM: ER $\leq$ 30 degrees in the scapular plane, IR to belt line in scapular plane, Flex/Scaption to tolerance, ABD $\leq$ 90 degrees, pendulums, seated GH flexion table slide, seated horizontal table slide • AAROM: Active assistive shoulder flexion • AROM: elbow, hand, wrist <i>Strengthening (Week 2)</i> • Periscapular: scap retraction, prone scapular retraction, standing scapular setting, supported scapular setting, inferior glide, low row • Ball squeeze
Criteria to Progress	• $\geq$ 50% shoulder PROM flex, scaption as compared to contralateral side • $\leq$ 90 degrees of shoulder ABD PROM • $\leq$ 30 degrees of shoulder ER PROM in scapular plane • $\geq$ 70 degrees of IR PROM in scapular plane

	• Palpable muscle contraction felt in scapular musculature • Pain < 4/10 • No complications with Phase I
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PHASE II: INTERMEDIATE POST-OP (4-6 WEEKS AFTER SURGERY)

Rehabilitation Goals	• Continue to protect surgical repair • Reduce swelling, minimize pain • Gradually increase shoulder PROM • Minimize substitution patterns with AROM and AAROM • Improve periscapular muscle activation/strength • Initiate RTC (external rotators) activation • Patient education
Sling	• Use at night while sleeping • Gradually start weaning sling over the next two weeks during the day
Precautions	• No excessive shoulder external rotation or abduction • No lifting of objects heavier than a coffee cup • No supporting of body weight with hands • Place small pillow/towel roll under elbow while lying on back to avoid shoulder hyperextension
Intervention <i>*Continue with Phase I interventions</i>	<i>Range of motion/Mobility</i> • PROM: Full with exception of ER $\leq$ 30 degrees in scapular plane and $\leq$ 90 degrees ABD • AAROM: shoulder flexion with cane, cane external rotation stretch, washcloth press, seated shoulder elevation with cane • AROM: supine flexion, salutes, supine punch <i>Strengthening</i> • Rotator cuff: external rotation isometrics • Periscapular: Row on physioball, serratus punches • Elbow: Biceps curl, resistance band bicep curls and triceps <i>Motor control</i> • ER in scaption and Flex 90-125 (rhythmic stabilization) <i>Stretching</i> • Sidelying horizontal ADD
Criteria to Progress	• $\geq$ 75% shoulder PROM flex, scaption, as compared to contralateral side • $\geq$ 75% shoulder PROM IR in scapular plane as compared to contralateral side • 30 degrees of shoulder PROM ER in scapular plane • 90 degrees of shoulder PROM ABD • Minimal substitution patterns with AAROM • AROM shoulder elevation to 100 degrees with minimal substitution patterns • Pain < 4/10 • No complications with Phase II

PHASE III: INTERMEDIATE POST-OP CONTD (7-8 WEEKS AFTER SURGERY)

Rehabilitation Goals	• Do not overstress healing tissue (especially the anterior capsule) • Minimize pain • Maintain PROM • Improve AROM • Progress periscapular and RTC strength • Return to full functional activities • Patient education
Sling	• Discontinue
Precautions	• No lifting of heavy objects (>10 lbs)
Intervention <i>*Continue with Phase I-II interventions</i>	<i>Range of motion/Mobility</i> • Full ROM in all planes • AAROM: incline table slides, ball roll on wall, wall climbs, pulleys • AROM: seated scaption, seated flexion, supine forward elevation with elastic resistance to 90 deg

	<i>Strengthening</i> • Rotator cuff: internal rotation isometrics, side-lying external rotation, • Standing external rotation w/ resistance band, standing internal rotation w/ resistance band, internal rotation, external rotation, • Periscapular: Resistance band shoulder extension, resistance band seated rows, rowing, lawn mowers, robbery <i>Motor control</i> • IR/ER and Flex 90-125 (rhythmic stabilization) • Quadruped alternating isometrics and ball stabilization on wall • PNF-D1 diagonal lifts, PNF-D2 diagonal lifts <i>Stretching</i> • IR behind back with towel, sidelying horizontal ADD, sleeper stretch, triceps and lats
Criteria to Progress	• Minimal to no substitution patterns with shoulder AROM • Pain < 4/10

PHASE IV: TRANSITIONAL POST-OP (9-11 WEEKS AFTER SURGERY)

Rehabilitation Goals	• Do not overstress healing tissue (especially the anterior capsule) • Maintain pain-free PROM • Continue improving AROM • Improve dynamic shoulder stability • Gradually restore shoulder strength and endurance
Precautions	• No lifting of heavy objects (> 10 lbs) • Avoid exercises that put stress on the anterior shoulder capsule (ie: shoulder ER above 80 degrees of ABD)
Intervention <i>*Continue with Phase II-III interventions</i>	<i>Range of motion/mobility</i> • Full ROM in all planes <i>Strengthening</i> • Rotator cuff: increase resistance rotator cuff exercise • Periscapular: Push-up plus on knees, “W” exercise, resistance band Ws, dynamic hug, resistance band dynamic hug, prone shoulder extension Is, resistance band forward punch, forward punch, tripod, pointer <i>Motor control</i> • Resistance band PNF pattern, PNF – D1 diagonal lifts w/ resistance, diagonal-up, diagonal-down, Wall slides w/ resistance band
Criteria to Progress	• Supine AROM Flex >=140 degrees • Supine AROM ABD >=120 degrees • Supine AROM ER in scapular plane >= 60 degrees • Supine AROM IR in scapular plane >= 70 degrees • AROM shoulder elevation to 120 degrees with minimal substitution patterns • Performs all exercises demonstrating symmetric scapular mechanics • Pain < 2/10

PHASE V: ADVANCED STRENGTHENING POST-OP (12-16 WEEKS AFTER SURGERY)

Rehabilitation Goals	• Maintain pain-free ROM • Improve shoulder strength and endurance • Enhance functional use of upper extremity
Intervention <i>*Continue with Phase II-IV interventions</i>	<i>Strengthening</i> • Rotator cuff: External rotation at 90 degrees, internal rotation at 90 degrees, resistance band standing external rotation at 90 degrees, resistance band standing internal rotation at 90 degrees • Periscapular: T and Y, “T” exercise, push-up plus knees extended, wall push up <i>Motor Control</i> • Progress ball stabilization on wall to overhead alternating isometrics/rhythmic stabilization

Criteria to Progress	• Clearance from MD and ALL milestone criteria have been met • Maintains pain-free PROM and AROM • Performs all exercises demonstrating symmetric scapular mechanics • QuickDASH • PENN
Return-to-Sport	• For the recreational or competitive athlete, return-to-sport decision making should be individualized and based upon factors including level of demand on the upper extremity, contact vs non-contact sport, frequency of participation, etc. We encourage close discussion with the referring surgeon prior to advancing to a return-to-sport rehabilitation program.

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Contact	Please email MGHSportsPhysicalTherapy@partners.org with questions specific to this protocol
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References

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Quick DASH

Please rate your ability to do the following activities in the last week by circling the number below the appropriate response.

	NO DIFFICULTY	MILD DIFFICULTY	MODERATE DIFFICULTY	SEVERE DIFFICULTY	UNABLE
1. Open a tight or new jar	1	2	3	4	5
2. Do heavy household jobs (e.g. wash windows, clean floors)	1	2	3	4	5
3. Carry a shopping bag or briefcase	1	2	3	4	5
4. Wash your back	1	2	3	4	5
5. Use a knife to cut food	1	2	3	4	5
6. Recreational activities which require you to take some force or impact through your arm, shoulder or hand (e.g. golf, hammering, tennis etc)	1	2	3	4	5

	NOT AT ALL	SLIGHTLY	MODERATELY	QUITE A BIT	EXTREMELY
7. During the past week, to what extent has your arm, shoulder or hand problem interfered with your normal social activities with family, friends, neighbours or groups? (circle number)	1	2	3	4	5

	NOT LIMITED AT ALL	SLIGHTLY LIMITED	MODERATELY LIMITED	VERY LIMITED	UNABLE
8. During the past week, were you limited in your work or other regular daily activities as a result of your arm, shoulder or hand problem? (circle number)	1	2	3	4	5

Please rate the severity of the following symptoms in the last week (circle number)	NONE	MILD	MODERATE	SEVERE	EXTREME
9. Arm, shoulder or hand pain	1	2	3	4	5
10. Tingling (pins and needles) in your arm, shoulder or hand	1	2	3	4	5

	NO DIFFICULTY	MILD DIFFICULTY	MODERATE DIFFICULTY	SEVERE DIFFICULTY	SO MUCH DIFFICULTY THAT I CAN'T SLEEP
11. During the past week, how much difficulty have you had sleeping because of the pain in your arm, shoulder or hand? (circle number)	1	2	3	4	5

Quick DASH DISABILITY/SYMPTOM SCORE = $\frac{[(\text{sum of } n \text{ responses}) - 1]}{n} \times 25$ (where n is the number of completed responses)

A Quick DASH score may not be calculated if there is greater than 1 missing item.

WORK MODULE (OPTIONAL)

The following questions ask about the impact of your arm, shoulder or hand problem on your ability to work (including home-making if that is your main work role).

Please indicate what your job / work is: _____

☐ I do not work (you may skip this section).

Please circle the number that best describes your physical ability in the past week.

Did you have any difficulty:	NO DIFFICULTY	MILD DIFFICULTY	MODERATE DIFFICULTY	SEVERE DIFFICULTY	UNABLE
1. Doing your work in your usual way?	1	2	3	4	5
2. Doing your usual work because of arm, shoulder or hand pain?	1	2	3	4	5
3. Doing your work as well as you would like?	1	2	3	4	5
4. Spending your usual amount of time doing your work?	1	2	3	4	5

SPORTS/PERFORMING ARTS MODULE (OPTIONAL)

The following questions relate to the impact of your arm, shoulder or hand problem on playing *your musical instrument or sport or both*. If you play more than one sport or instrument (or play both), please answer with respect to that activity which is most important to you.

Please indicate the sport or instrument which is most important to you: _____

☐ I do not play a sport or an instrument. (You may skip this section).

Please circle the number that best describes your physical ability in the past week.

Did you have an difficulty:	NO DIFFICULTY	MILD DIFFICULTY	MODERATE DIFFICULTY	SEVERE DIFFICULTY	UNABLE
1. Playing your instrument or sport in your usual way?	1	2	3	4	5
2. Playing your musical instrument or sport because of arm, shoulder or hand pain?	1	2	3	4	5
3. Playing your instrument or sport as well as you would like?	1	2	3	4	5
4. Spending your usual amount of time practising or playing your instrument or sport?	1	2	3	4	5

Scoring the optional modules: add up the assigned values for each response; divide by 4 (number of items); subtract 1; multiple by 25.

An optional module score may not be calculated if there are any missing items.

The Penn Shoulder Score, Part 1: Pain and Satisfaction Subscales

Please circle the number closest to your level of pain or satisfaction	Office Use Only
Pain at rest with your arm by your side: 0 1 2 3 4 5 6 7 8 9 10 No pain Worst pain possible	_____ (10 – # circled)
Pain with normal activities (eating, dressing, bathing): 0 1 2 3 4 5 6 7 8 9 10 No pain Worst pain possible	_____ (10 – # circled) (Score 0 if not applicable)
Pain with strenuous activities (reaching, lifting, pushing, pulling, throwing): 0 1 2 3 4 5 6 7 8 9 10 No pain Worst pain possible	_____ (10 – # circled) (Score 0 if not applicable)
Pain score:	= ____/30
How satisfied are you with the current level of function of your shoulder? 0 1 2 3 4 5 6 7 8 9 10 Not satisfied Very satisfied	_____/10 (# circled)

The Penn Shoulder Score: Function Subscale

Please circle the number that best describes the level of difficulty you might have performing each activity	No difficulty	Some difficulty	Much difficulty	Can't do at all	Did not do <u>before</u> injury
1. Reach the small of your back to tuck in your shirt with your hand	3	2	1	0	X
2. Wash the middle of your back/hook bra	3	2	1	0	X
3. Perform necessary toileting activities	3	2	1	0	X
4. Wash the back of opposite shoulder	3	2	1	0	X
5. Comb hair	3	2	1	0	X
6. Place hand behind head with elbow held straight out to the side	3	2	1	0	X
7. Dress self (including put on coat and pull shirt off overhead)	3	2	1	0	X
8. Sleep on affected side	3	2	1	0	X
9. Open a door with affected arm	3	2	1	0	X
10. Carry a bag of groceries with affected arm	3	2	1	0	X
11. Carry a briefcase/small suitcase with affected arm	3	2	1	0	X
12. Place a soup can (1-2 lb) on a shelf at shoulder level without bending elbow	3	2	1	0	X
13. Place a one gallon container (8-10 lb) on a shelf at shoulder level without bending elbow	3	2	1	0	X
14. Reach a shelf above your head without bending your elbow	3	2	1	0	X
15. Place a soup can (1-2 lb) on a shelf overhead without bending your elbow	3	2	1	0	X
16. Place a one gallon container (8-10 lb) on a shelf overhead without bending your elbow	3	2	1	0	X
17. Perform usual sport/hobby	3	2	1	0	X
18. Perform household chores (cleaning, laundry, cooking)	3	2	1	0	X
19. Throw overhand/swim/overhead racquet sports (circle all that apply to you)	3	2	1	0	X
20. Work full-time at your regular job	3	2	1	0	X

SCORING

Total of columns = ____ (a)

Number of Xs $\times$ 3 = ____ (b), $60 - \text{____ (b)} = \text{____ (c)}$ (if no Xs are circled, function score = total of columns)

Function Score = $\text{____ (a)} \div \text{____ (c)} = \text{____} \times 60 \text{ ____}/60$